

## **EXHIBIT C**

09-JAN-2006 14:18 FROM 020 83360873

TO 07092026976

P.01/07

**Your Ref.****Our Ref.** COM 661/05**Date** 4th January 2006

Mr David ARAD  
Scodie Deyong Chartered Accountants  
United House  
23 Dorset Street  
London W1U 6EL

**METROPOLITAN POLICE SERVICE**

**Specialist Crime Directorate**  
International Assistance Team  
Wellington House  
67-73 Buckingham Gate  
London SW1E 6BE

Tel: 020 7230 1188  
Mobile: 07793206015  
Fax: 020 7230 3618  
E-mail: howard.shaw@met.police.uk

Dear Mr Arad

Re. Amerindo Advisors (UK) Ltd.

I confirm that on 13<sup>th</sup> and 14<sup>th</sup> October 2005 I executed a search warrant at Cadogan Tate NW10. The warrant granted authority for the search of property relating to Amerindo (UK), associated companies and named individuals.

Some 43 boxes of documents along with several computers were seized under the terms of the warrant.

This material has now been forwarded to the US Postal Inspection Service under the terms of an International Mutual Legal Assistance request.

Copies of the material sent have not been retained.

I enclose a copy of the search warrants (one for each day) along with a copy of our search record and the Client Inventory held by Cadogan Tate.

I appreciate that the Official Receiver will require information to be derived from some documents sent to the US. I would ask that my details be forwarded to him/her so that specific items can, between us, be located and copies supplied.

Yours sincerely

A handwritten signature in black ink, appearing to be 'H. Shaw', written over the typed name.  
Howard SHAW



IN THE GREATER LONDON COMMISSION AREA

Copy B

Bow Street Magistrates' Court (Code 2641)

To each and all of the Constables of the Metropolitan Police Force.

On this day an application, supported by an information on oath, was made by:

Detective Sergeant Howard Shaw  
Metropolitan Police

For the issue of a warrant under:

Section 16 Crime (International Co-operation) Act 2003 and  
Section 8 Police and Criminal Evidence Act 1984

To enter and search premises situated at (specify premises)

Cadogan Tate  
Cadogan House  
239 Acton Lane London NW10

13/10/2005  
1040

And search for: (identify as far as possible, the articles or persons sought)

Documents relating to the activities of AMERINDO (UK) and associated companies along with documents relating to the activities of Alberto VILAR (VILAR), Gary TANAKA (TANAKA), Renata TANAKA and James STABLEFORD.

A full detailed list is attached to and forms part of this warrant.

Authority is hereby given for any constable accompanied by Cynthia FRATERRIGO, Thomas FEENEY and Annmarie WILLIAMSON, to enter the said premises on one occasion only within one month from the date of issue of this warrant and to search for the articles or persons in respect of which the above application is made

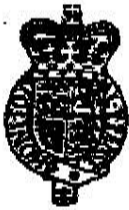
Date: 10 October 2005 3 25pm

And I certify that this copy warrant marked B is true copy of the warrant.

(Senior) District Judge.

Distribution:

The original warrant to enter and search premises, for endorsement, see back.



**IN THE GREATER LONDON COMMISSION AREA**

**Copy B**

Bow Street Magistrates' Court (Code 2641)

To each and all of the Constables of the Metropolitan Police Force.

On this day an application, supported by an information on oath, was made by:

**Detective Sergeant Howard Shaw**  
Metropolitan Police

For the issue of a warrant under:

**Section 16 Crime (International Co-operation) Act 2003 and**  
**Section 8 Police and Criminal Evidence Act 1984**

To enter and search premises situated at (specify premises)

**Cadogan Tate**  
**Cadogan House**  
**239 Acton Lane London NW10**

And search for: (identify as far as possible, the articles or persons sought)

**Documents relating to the activities of AMERINDO (UK) and associated**  
**companies along with documents relating to the activities of Alberto VILAR**  
**(VILAR), Gary TANAKA (TANAKA), Renata TANAKA and James**  
**STABLEFORD.**

**A full detailed list is attached to and forms part of this warrant.**

Authority is hereby given for any constable accompanied by Cynthia FRATERRIGO,  
Thomas FEENEY and Ammaria WILLIAMSON, to enter the said premises on one  
occasion only within one month from the date of issue of this warrant and to search  
for the articles or persons in respect of which the above application is made

Date: 14<sup>th</sup> October 2005

And I certify that this copy warrant marked B is true copy of the warrant.

*Nancy Evans*  
(Senior) District Judge

**Distribution:**

The original warrant to enter and search premises, for endorsement, see back.

Copy A, to be left with the occupant or on the premises.

Copy B to be retained by police.

M.C.A. 156. Warrant to enter and search premises. S. 15 PACE



1. Corporate records relating to (a) the formation of the foregoing entities; (b) their shareholders, principals, officers, directors, and employees; (c) changes in ownership; (d) bylaws, resolutions, client lists and client files; (e) investment brochures; (f) marketing materials and investment advisory agreements; (g) copies of correspondence sent to – or received from – clients; and (h) other documents concerning or reflecting the identities of, and communications with, any clients;
2. Documents reflecting financial transactions between and among Amerindo U.S., Amerindo U.K., and Amerindo Panama;
3. Documents concerning the following brokerage accounts at Bear, Stearns & Company Inc.: Amerindo Management Inc., sub a/c M26, Amerindo Technology Growth Fund Inc., Amerindo Technology Growth Fund II, and Toluco Reguia, SA (collectively, the "Amerindo Brokerage Accounts"), including account opening documents, account statements, wire transfer requests, correspondence, electronic mail messages, trade tickets, trade blotters, trade confirmations, trade allocation sheets, trade memoranda, trade notes, client account statements, memoranda and notes and other documents reflecting or relating to investment performance, and other documents reflecting or relating to securities transactions entered into on behalf of clients, by any current or former Amerindo entity, affiliate, principal officer and employee;
4. Current and former client lists, client files, investment brochures, marketing materials, investment advisory agreements, copies of correspondence sent to or received from clients, including redemption requests received from clients, and other documents

- concerning or reflecting the identities of, and communications with, clients who have investments in the Amerindo Brokerage Accounts;
5. Current and former client lists, client files, investment brochures, marketing materials, investment advisory agreements, copies of correspondence sent to or received from clients, and other documents concerning or reflecting the identities of, and communications with, clients who have investments managed or advised by Amerindo Panama;
  6. Documents concerning Rhodes Capital, including copies of prospectuses, private placement memoranda, subscription agreements, descriptions of Rhodes Capital, lists of investors, and the allocation and distribution of returns;
  7. Documents concerning investments in Guaranteed Fixed Rate Deposit Accounts, including lists of investors, account statements, documents pertaining to certificates of deposit, government securities, or other debt instruments held by Amerindo entities, and documents reflecting securities underlying any such investment;
  8. Documents concerning PTC Management Limited, including all documents reflecting the relationship between any Amerindo entity, principal or employee and PTC, instructions given by any Amerindo entity, principal or employee to PTC, and any investor receiving redemptions of Amerindo investments through PTC;
  9. Documents concerning account number 3308442 at Barclays Bank PLC, Nassau, Bahamas, and/or First Caribbean International Bank Limited, Nassau, Bahamas, including any account opening documents, monthly statements, documents pertaining to any deposits, withdrawals, and wire transfers, and any documents regarding the beneficial owners of the account;
  10. Documents reflecting any effort made by any Amerindo entity, including Amerindo SBIC Venture Fund LP, to obtain an SBIC license from the U.S. Small Business Administration, including correspondence, Management Assessment Questionnaires, license applications, documents reflecting efforts to obtain investor funds or commitments from investors to invest funds, capital commitments and investments, investments undertaken prior to licensure and the investment of funds received prior to licensure were invested pending licensure;
  11. Documents reflecting any private bank, brokerage account or any other account with any financial institution held by Amerindo principals, including Vilar and Tanaka;
  12. Documents reflecting any direct financial or beneficial ownership interest held by Vilar or Tanaka in Amerindo and the Amerindo Brokerage Accounts;
  13. Documents reflecting brokerage accounts maintained by Amerindo at any broker-

- dealer other than Bear, Stearns & Co. Inc., including documents reflecting trades conducted "away" from Bear, Stearns, and settled in Amerindo Brokerage Accounts at Bear, Stearns;
14. Bank account statements, brokerage account statements, transaction records, wire transfer instructions and records, copies of checks sent to or received from clients, notes, ledgers, cash receipt journals, deposit tickets and records, and other documents reflecting or relating to movements of funds into or out of the Amerindo Brokerage Accounts;
  15. Records of expenses, including copies of checks and wire-transfers to landlords, employees, counsel, accountants, brokers, utility companies, and other organizations and individuals who provide goods and services to Amerindo; incorporation and governance documents relating to various entities through which Amerindo, Villar and Tsakia conduct business (including Amerindo U.S., Amerindo U.K., and Amerindo Panama); accounting records; documents relating to any communications with accountants, boards of directors, and the operation and supervision of Amerindo's investment advisory business(es);
  16. Documents reflecting information about any current or former Amerindo employee who had any contact with, or responsibility for, the management of, redemptions from, or preparation of account statements for, any current or former Amerindo client that had a direct or indirect interest in the Amerindo Brokerage Accounts;
  17. Photographs, address books, Rolodex indices, diaries, calendars, identification documents, travel documents, and other documents relating to any participants in the fraudulent investment schemes;
  18. Facsimile machines to determine the telephone numbers used to send and receive documents;
  19. Computers, hard drives, and any other devices or equipment capable of storing data or text in any format, including but not limited to cellular telephones, personal digital assistants, and any other storage media capable of containing data or text in magnetic, electronic, optical, digital, analog, or any other format, used to store information described above, as well as drafts and final versions of documents and correspondence prepared in connection with the running and supervision of the operations of the investment advisory business;
  20. Any device (mechanical or electronic, including stamps, electronic signatures, etc.) by which the signature of any current or former client or current or former employee has been or may be affixed to a document by a person other than the individual whose signature is contained on or in the device;



21. Documents concerning the purchase of thoroughbred horses, or goods and services related to the training and maintenance of thoroughbred horses;
22. Documents and records identifying all current and former employees of Amerindo and their contact information (e.g., addresses and telephone numbers) and personal identifiers (e.g., date of birth, social security number, and passport number);
23. Documents related to any audited financial records relating to Amerindo advised accounts other than the audited financial records for any publicly traded Amerindo mutual fund; and
24. Documents related to the withdrawal of funds from the Amerindo Brokerage Accounts for the direct or indirect benefit of Vilar, Tanaka, Ms. Tanaka, or any individual or entity other than an investor in the accounts.